

“Trade-it-up” Programme – HK Shares -Application form

This Trade-it-up Programme (“Programme”) allows you to enjoy the unlimited commission-free trading of HK Shares for a fixed fee.

Account average balance of the month	Account service fee
HK\$1,000,000 or above	HK\$88
Below HK\$1,000,000	HK\$288

Please complete this form and return it to us by fax +852 3920 2082, by email to [enquiry@ebshkdirect.com](mailto:enquiry@ebshkdirect.com), or by Whatsapp messenger app to +852 5299 0559. For enquiries, please call us on +852 2822 5001 (Hong Kong) / +86 40011 95525 (Mainland) or email to [enquiry@ebshkdirect.com](mailto:enquiry@ebshkdirect.com).

I would like to apply the Programme as below:

Trade-it-up Programme – HK Shares	HK\$88-HK\$288*
-----------------------------------	-----------------

\*We will debit your account with the highest monthly subscription fee on or before first 3 business days of a month and we will credit your account with the excess over the actual monthly subscription fee you are entitled to enjoy (based on the monthly average account balance of that month) in the next month.

(For example, if your monthly average account balance is HK\$1,000,001 in August 2019, the excess amount of monthly subscription fee over debited HK\$200 (i.e. HK\$288-HK\$88) will be credited to your account within September 2019)

APPLICANT’S DETAILS <sup>^</sup>	
Account Holder’s Name(s)	
Telephone No.	
Email Address	
I/We hereby subscribe to the Programme and agree to be bounded by the relevant terms and conditions and authorize SHK Online (Securities) Limited to debit the monthly subscription fee of the Programme from my/our account _____ Account Holder’s Signature(s)# Date: <sup>^</sup> If necessary, you may refer to Everbright Sun Hung Kai’s privacy policy at <a href="http://www.ebshkdirect.com/shkinfo/en_US/footer/privacy.html">http://www.ebshkdirect.com/shkinfo/en_US/footer/privacy.html</a> . #Signature(s) should be the same as the specimen signature(s) recorded on the account opening documents. For joint accounts, all account holders must sign.	

Terms and conditions:

1. The Programme is provided and coordinated by SHK Online (Securities) Limited (“SHKOS”), a member of Everbright Sun Hung Kai (“EBSHK”). SHKOS operates under “EBSHK Direct”, a sub-brand of EBSHK. The Programme is only applicable to electronic channels via SHKOS.
2. The promotion period of the Programme starts from July 1, 2019 and ends on December 31, 2019, both dates inclusive (“Promotion Period”).
3. The Programme is only applicable to new customers (“eligible clients”) who fulfil the following conditions: (i) did not have an individual or joint trading account maintained with any member company of EBSHK or its subsidiaries in the 12 months preceding the effective date of account opening; (ii) were not a beneficial owner of any corporate trading account maintained with any member company of EBSHK or its subsidiaries during the aforesaid period; (iii) provides all necessary documents for account opening; and (iv) application successfully opens an individual or joint Cash/Margin securities account with EBSHK Direct during the Promotion Period.
4. Eligible client is required to submit the completed application Form of the Programme, and will be entitled to a fixed fee for trading HK Shares with unlimited number of trades, instead of paying basic commission at 0.138% and minimum HK\$88 per transaction to trade HK Shares according to the EBSHK Direct Fees and charges which is available [<https://www.shkdirect.com/en/info-fees>] The Programme will be effective from the day that (i) SHKOS accepts eligible client’s application for the Programme and (ii) confirms receipt of the first subscription fee from the eligible client’s designated trading account maintained with SHKOS (“Commencement Day”).
5. “HK Shares” is defined as securities listed on the Hong Kong Exchanges and Clearing Limited.
6. Service Period: Service Period refers to the period starting from the Commencement Day to the end of that calendar month or each of the calendar months going onward when the Programme is still effective. Eligible client may terminate the Programme at any time by giving notice to SHKOS in accordance with clause 7 below; otherwise, the Programme will be renewed automatically and the monthly Subscription fee of the following month will be deducted from eligible client’s designated trading account on or before 3 business days of the relevant Service Period according to the mechanism as stated in the first page marked with\*.
7. Termination: Eligible client may terminate the Programme by submitting a written instruction (by fax or by post). If such instruction is given before the 15<sup>th</sup> day of any calendar month, the effective date will be the first day of the following month; if given after the 15<sup>th</sup> of any calendar month, the effective date will be the first day of the second month that follows. No refund of Subscription fee is applicable. You may enjoy the Programme until the end of the relevant Service Period. Upon termination, you may continue to use our service to trade HK Shares with commission at 0.138% and minimum HK\$88 per transaction according to the EBSHK Direct Fees and Charges. Note: you may not be eligible to apply for the Programme in the future.
8. Eligible client is still required to pay for other trading fees, including, but not limited to, interest, nominee service fees and all statutory or third-party transaction charges, which include, but not limited to, transaction levies, stamp duties, HKEx trading fees and CCASS settlement fees where applicable.
9. The Programme is not applicable to corporate and institutional customers, staff, agents and Wealth

Managers of EBSHK. The Programme cannot be used in conjunction with any other promotional offers.

10. SHKOS reserve the rights to suspend, amend or terminate the Programme and any of the terms and conditions at any time without prior notice.
11. These terms and conditions shall be governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
12. If there is any inconsistency or conflict between the English and Chinese versions of the terms and conditions, the English version shall prevail.
13. In case of any disputes, SHKOS reserves the right to make the final decision (including on the interpretation of these Terms and Conditions), which will be binding for all parties concerned. Investment involves risks and the prices of securities may go up or down and may even become valueless.
14. Before making any investment decisions, investors should carefully consider their own circumstances, risk tolerance level, investment experience and investment objectives. Investors should not base any of their investment decisions on the benefits of the Programme alone. If investors are in doubt, they should seek independent professional advice. Renminbi-denominated securities and products involves different risks (including but are not limited to currency risk, exchange rate risk, credit risk of issuer / counterparty, interest rate risk, liquidity risk (where appropriate)).