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模型投資組合乃由第三方服務提供商 Quantifeed HK Limited (「Quantifeed」) 提供，其軟件算法 SHKOS 無法看到或控制。本人 / 吾等承認並同意 SHKOS 沒有義務對任何第三方服務供應商就 Quantifeed 或任何其他第三方服務供應商方面的任何行動、遺漏、錯誤或不足而對本人 / 吾等所造成的任何損失或損害採取任何行動，並承諾不得以任何方式要求 SHKOS 或其關聯公司或有聯繫人士承擔任何此類損失或損害的義務或法律責任 (因 SHKOS 欺詐或故意失責，並且其重大地有助於第三方服務供應商的行動、遺漏、錯誤或不足者除外)。

I/We agree to accept the inherent risks and limitations in the “AI-Portfolio Investing” trading service. Such risks and limitations may include but are not limited to no or insufficient account being taken of my/our investment objective, financial situation or risk profile due to the generalized and non-personalized nature of the software algorithm. If I/We decide to alter the composition of the model portfolio or not to rebalance my/our portfolio to align with the revised or updated model portfolio, I/We agree to accept all the risks occasioned by my/our own decision.

本人 / 吾等同意接受「AI-Portfolio 投資平台」交易服務中的固有風險和局限。此類風險和局限可能包括但不限於由於軟件算法的一般及非個人化性質而沒有或未有充分考慮本人 / 吾等的投資目標、財務狀況或風險狀況。如果本人 / 吾等自行決定改變模型投資組合的組成或不重新平衡本人 / 吾等的投資組合以與經修訂或已更新的模型投資組合保持一致，本人 / 吾等同意接受本人 / 吾等自己所作決定所帶來的所有風險。

I/We also acknowledge and agree that the Hong Kong shares trading service through other channels for my Securities Trading Account(s) stated below will be disabled during the continuation of the “AI-Portfolio Investing” trading service provided to me/us. Without prejudice and in addition to foregoing provisions or any provision in the Client Agreement and Schedules or in any software installation or log-in disclaimers, I/We agree that SHKOS shall be afforded protections and waiver of liabilities to an extent no less than those protections and waiver of liabilities available to or claimed by the commercial market data or technology vendor who provides market data or technology to me/us or SHKOS or its affiliates directly or indirectly. Please provide access to the “AI-Portfolio Investing” trading services for my Securities Trading Account(s) stated below.

本人 / 吾等亦確認及同意本人的下列證券交易帳戶在其他渠道的港股交易服務將在本人 / 吾等使用「AI-Portfolio 投資平台」服務期間停止提供。在不損害及附加在上述條文或「客戶協議及附件」或任何軟件裝載或登入的免責聲明中的任何條文的前提下，本人 / 吾等同意授予新鴻基 科網 (證券) 有限公司保障及法律責任之免除，有關保障及法律責任之免除的程度不低於直接或間接地提供市場數據或技術予本人 / 吾等或 SHKOS 或其有聯繫人士之商業市場數據或技術供應商所享有或要求享有之保障及法律責任之免除。請為本人的下列證券交易帳戶提供「AI-Portfolio 投資平台」交易服務。

I/We acknowledge and agree that the fees charged for trading through the “AI-Portfolio Investing” service appropriately reflect the allocation of risks between the parties. Accordingly, based on the foregoing, I/We acknowledge as reasonable the exclusions of warranties and limitations on liability set forth in this Reply Form. As such, I/We acknowledge and agree that if any of the exclusions or limitation of warranties or liabilities set forth in this Reply Form should be deemed to be invalid, ineffective or unenforceable, or in the event that SHKOS is found liable for any claim arising out of or in connection with the “AI-Portfolio Investing” service, then, the entire collective liability of SHKOS and/or any member of the Group (as defined in the SHKOS’ Client Agreement and Schedules) shall in no circumstance exceed two (2) times the amount of fees paid by me/us to SHKOS in the month immediately preceding the act or omission or circumstance giving rise to the claim.

本人 / 吾等確認並同意，透過「AI-Portfolio 投資平台」服務進行買賣的費用適當反映各方的風險分配。因此，基於上述，本人 / 吾等確認本回覆表格列載之保證排除及責任限制均為合理。就此，本人 / 吾等確認並同意，倘本回覆表格列載之任何保證或責任的排除或限制被視為無效、失效或不具執行效力，或當 SHKOS 因或有關「AI-Portfolio 投資平台」服務而被認為對任何索償有責任，SHKOS 及 / 或集團（如 SHKOS 的客戶協議及附件所定義）任何成員的全部集體責任在任何情況下將不超過本人 / 吾等於引致索償產生的行為或遺漏或情形之日起的前一個月付予 SHKOS 的服務費用之兩(2)倍。

**Client Name**

客戶姓名：

**Client Signature\***

客戶簽署\*：

\*For a joint account, all joint account-holders must sign.

\*對於聯名帳戶，所有聯名帳戶持有人均須簽署。

**Date 日期：** \_\_\_\_\_

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內部填寫：由 (i) 電子商務部主管 (或其代表) (適用於新鴻基科網) 填寫；或 (ii) 客戶經理 (適用於其他光大新鴻基集團的公司) 填寫

**AI-Portfolio Cash Securities Trading A/C No.**

**AI-Portfolio 現金證券交易賬戶號碼：** \_\_\_\_\_

Please complete, sign and return this document to us by post. Postal address: E-Business Department, Everbright Sun Hung Kai Company Limited, 28/F Lee Garden One, 33 Hysan Avenue, Causeway Bay, Hong Kong. Signature(s) should be the same as the specimen signature(s) recorded on the account opening documents. Call us on +852 2822 5001 if you have any questions.

請填妥、簽署本文件，然後將本文件以郵寄方式交回本公司。郵寄地址：香港銅鑼灣希慎道 33 號利園一期 28 樓，光大新鴻基有限公司電子業務部 (E-Business)。本文件上的簽名必須與開戶文件上的式樣相同。閣下如有任何問題，請致電 +852 2822 5001 查詢。